

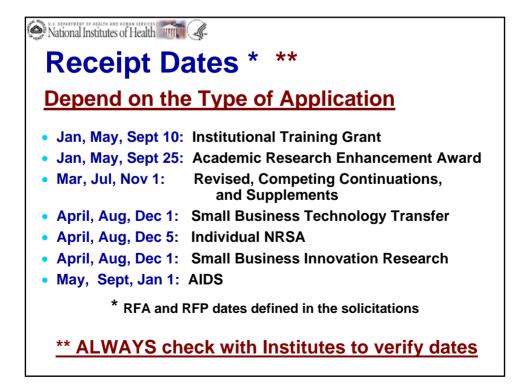
Grant Application Receipt and Assignment

National Institutes of Health and Services

Applications Submitted to NIH

- Approximately 65,000+ grant applications are submitted to NIH each year,
- 25-30% are funded
- Competing grant applications are received for three review cycles per year

	National Institutes of Health and Company							
Timeline								
	Submission	Review	Pos	t-Review	Phas	e		
	Oct 1/Nov 1*'03	Feb Mar '04	Mar-	Jun'04	May/.	Jun '04	Jul 1	'04
	Feb 1/Mar 1* '0	4 Jun Jul '04	Sep	30'04	Sep/C	Oct '04	Dec '	1 '04
	Jun 1/Jul 1* '04	Oct Nov '04	Nov-	Feb'05	Jan/F	eb '05	Apr 1	l '05
a	Standard Initial Receipt Date Peer (new/ *revised Review and continuation)			Council Meeting; Funding Approved for Nonexpedited and Special Action Awards		Award		
	Funds Released for Payline Grants Chosen for Expedited Second- Level Review							



???? What Happens To Your Application When It Arrives at NIH ????



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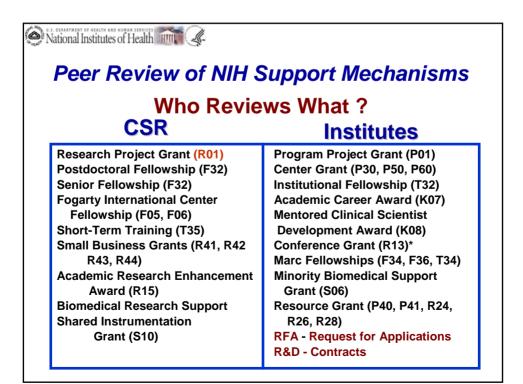
<section-header>Contractions assigned to study sections known as scientific Review Groups (SRG) based on:

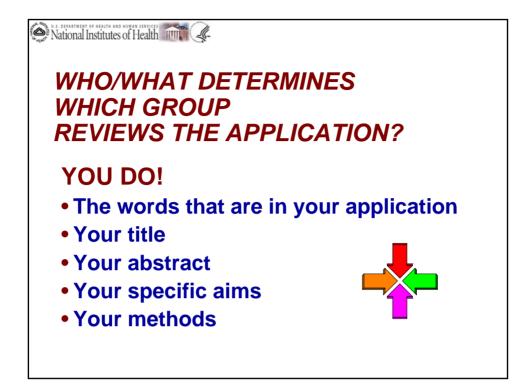
WHO/WHAT DETERMINES WHICH GROUP REVIEWS THE APPLICATION?

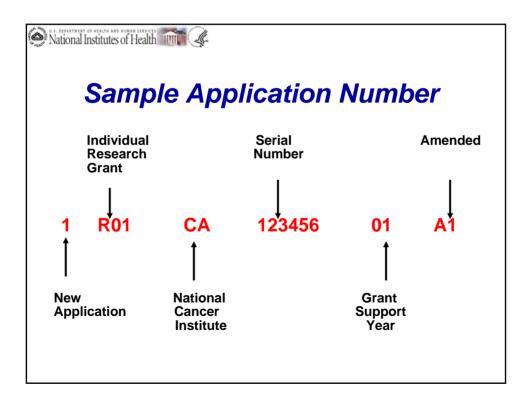
Mechanism

Type of application CSR or Institute Review

- Referral and Review Staff
- Past Review History (if any) of application
- Principal Investigator Letter attached to application; self-referral







Assignment Notification Letter

Dear Dr. Sample:

Your grant application entitled "CEREBRAL VESSEL INNERVATION IN HYPERTENSION" has been received by the National Institutes of Health and assigned to a Scientific Review Group (SRG) for scientific merit evaluation and to an Institute/Center for funding consideration. Specific information about your assignment is given below. The initial peer review should be completed by March, 2001, and a funding decision made shortly after the appropriate National Advisory Group meets in May, 2001. Questions about the assignment should be directed to the Scientific Review Administrator (SRA) or the Division of Receipt and Referral, Center for Scientific Review at (301) 435-0715. Other questions prior to review should be directed to the Scientific Review Administrator and questions after the review to the program staff in the Institute/Center.

National Institutes of Health

Assignment Notification Letter (continued)

Principal Investigator: Sample Pamela

Assignment Number: 2 R01 HL12345 - 12A1 Dual Assignment: NS

Scientific Review Group:

Epidemiology and Disease Control Subcommittee 2 SS (EDC2)

A roster of the membership of this Scientific Review Group located on the following website:

http://era.nih.gov/roster/index.cfm

Assignment Notification Letter (continued)
 Scientific Review Administrator:
 DR. DAVID MONSEES, SRA
 CTR FOR SCIENTIFIC REV
 6701 ROCKLEDGE DR RM 3199 MSC7802
 BETHESDA MD 20892
 (301) 435-0684
 Assigned Institute/Center:
 NATL HEART, LUNG, & BLOOD INST
 DV/EXTRAMURAL AFFAIRS RK2 7100
 NATIONAL INSTITUTES OF HEALTH
 BETHESDA, MD 20892
 (301) 480-5295

National Institutes of Health

Assignment Notification Letter (continued)

IMPORTANT NOTICE: Please review the information on human and animal subjects research located at:

http://grants.nih.gov/grants/peer/hum anim notice.pdf

as these requirements will affect the priority score on your application.

Study Section Meeting: Scientific Review Groups

National Institutes of Health

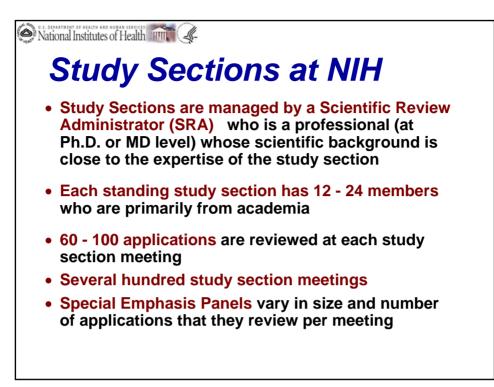
TYPES OF REVIEW COMMITTEES:

Chartered Study Sections

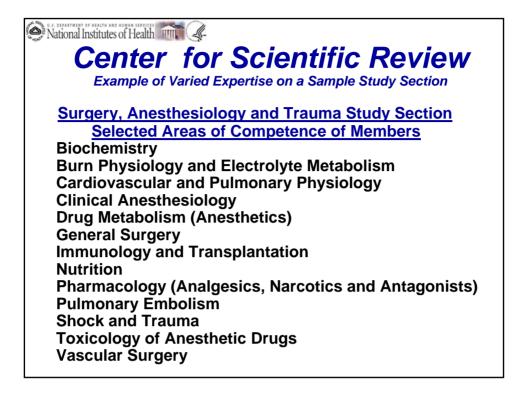
 when the subject matter of the application matches the referral guidelines for the standing study section

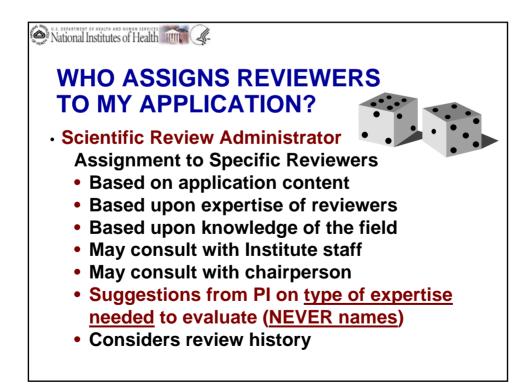
Special Emphasis Panels (SEPs)

- when the subject matter does not fit into any study section, or
- when assignment of an application to the most appropriate study section would create a conflict of interest, or
- Special Mechanisms (RFA, Fellowships, SBIRs, AREAS, etc.)









Criteria For Selection of Peer Reviewers

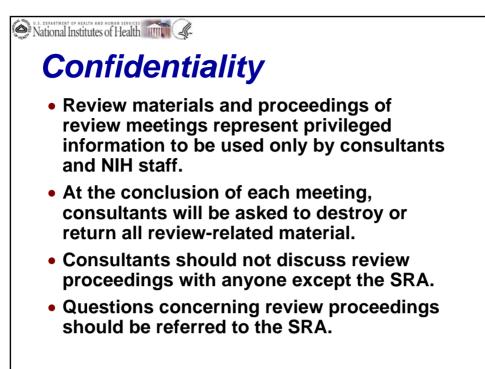
- Demonstrated Scientific Expertise
- Doctoral Degree or Equivalent
- Mature Judgment
- Work Effectively in a Group Context
- Breadth of Perspective
- Impartiality
- Interest in Serving
- Adequate Representation of Women and Minority Scientists

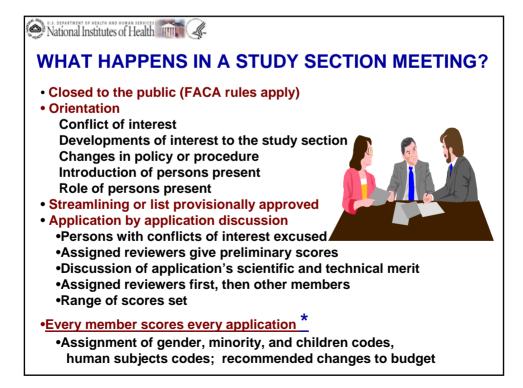
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Certification of No Conflict of Interest

This will certify that in the review of applications and proposals by (study section) on (date), I did not participate in the evaluation of any grant or fellowship applications from (1) any organization, institution or university system in which a financial interest exists to myself, spouse, parent,child, or collaborating investigators; (2) any organization in which I serve as officer, director, trustee, employee or collaborating investigator; or (3) any organization which I am negotiating or have any arrangements concerning prospective employment or other such associations.

SIGNATURES





WHAT IS STREAMLINING?

Process by which reviewers judge which applications are in the lower half of those assigned for review.
Applications in the lower half are evaluated by the reviewers prior to attending the meeting but they are not discussed at the Scientific Review Group meeting.

- Any member can object to the streamlining of an application
- Requires that all reviewers agree to streamline an application
- Streamlined applications receive written reviewer critiques

Why?

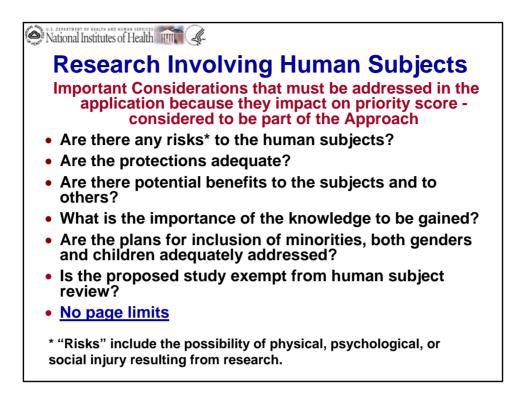
- Shortens meetings
- Reviewers more willing to serve on committee
- · Allows more time for discussion of applications

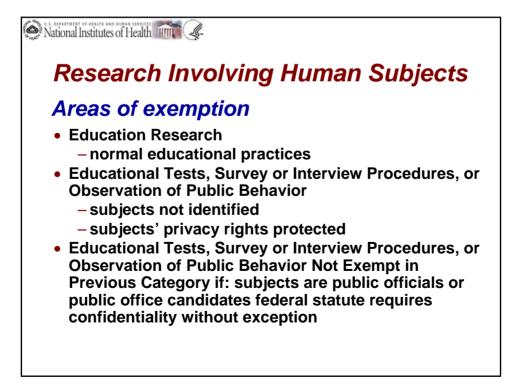
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"Review" of Applications

- Applications are not reviewed at the meeting. They are evaluated prior to the meeting.
- The meeting is a time for discussion and negotiation of a priority score and for making a recommendation that best reflects the scientific and technical merit of the application.
- Strong applications get brief discussion
- Weak application get brief discussion
- Marginal application get longer discussion to ensure fairness to the applicant

National Institutes of Health The Constraints of the second second





Acceptance Studies Using Control of Contro

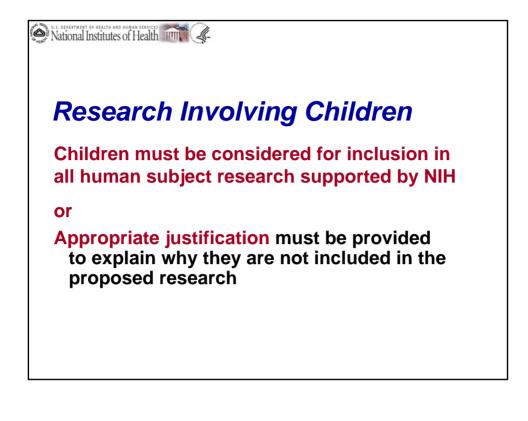
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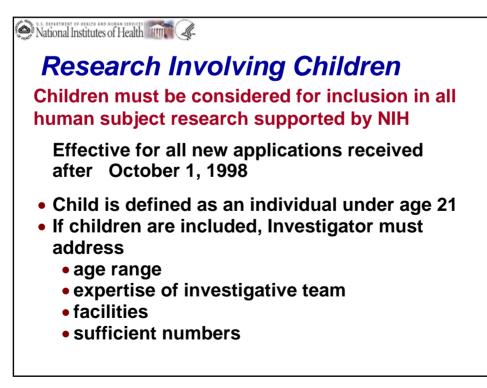
Inclusion of Women and Minorities in Clinical Research

• Women and Minorities must be considered for inclusion in all clinical research supported by NIH

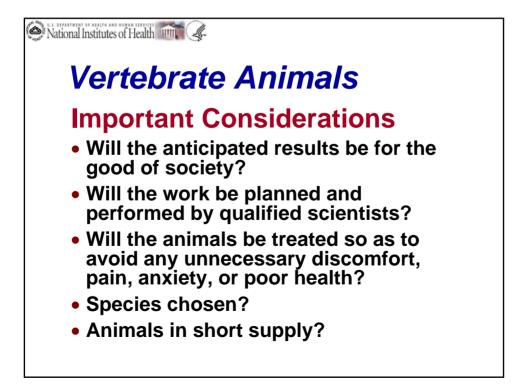
or

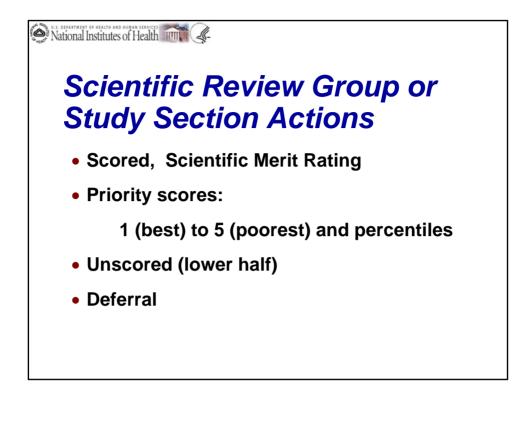
•Appropriate justification must be provided to explain why they are not included in the proposed research











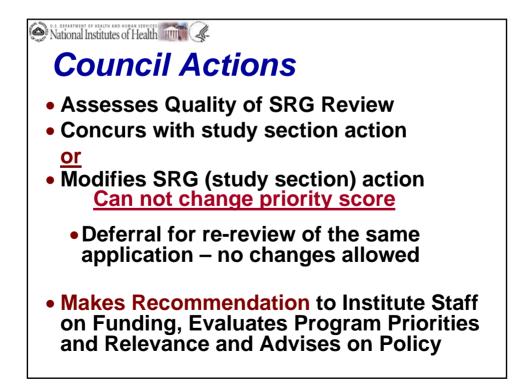
Summary Statement

After the review meeting is finished, the results are documented by the SRA in a summary statement and forwarded to the PI and to the assigned NIH Institute. The assigned NIH Institute is responsible for making a funding decision.

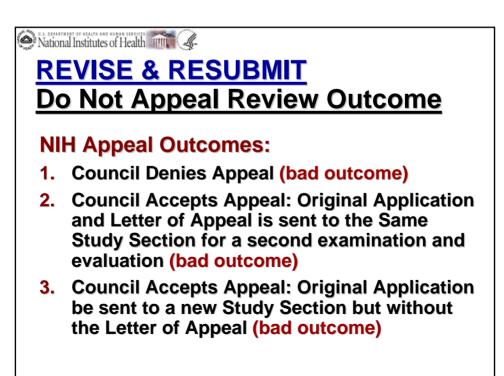
The summary statement contains:

- •Overall Resume and Summary of Review Discussion
- •Essentially Unedited Critiques of Assigned Reviewer
- •Priority Score and Percentile Ranking
- •Budget Recommendations
- Administrative Notes

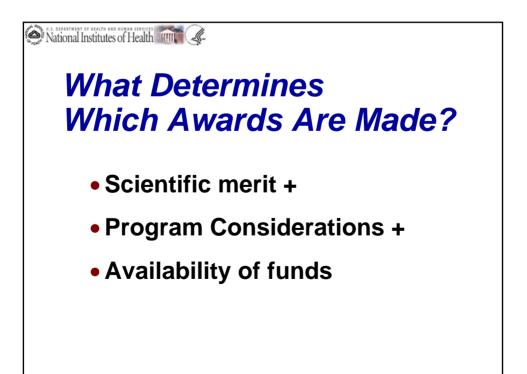
National Advisory Council or Board Review



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National Institutes of Health Time Ine Consequences								
	Best Way	Revision	Appeal					
Submit	Feb 04	Feb 04	Feb 04					
Review	June 04	June 04	June 04					
Council	Sept 04	Sept 04	Sept 04					
Earliest award	Dec 04							
Review 2			Oct 04					
Council 2			Jan 05					
Earliest Resubmission	l	March05						
Earliest Award			A07 05					
Review 2		June 05						
Earliest Resubmission	l		July 05					
Council 2		Sept 05	_					
Review 3			Oct 05					
Earliest Award		Dec 05						
Council	L	Feb 06						
Earliest Award			June 06					



You do not want a reviewer to make this comment about your application:

"This application is characterized by ideas that are both original and scientifically important. Unfortunately the ideas that are scientifically important are not original and the ideas that are original are not scientifically important."

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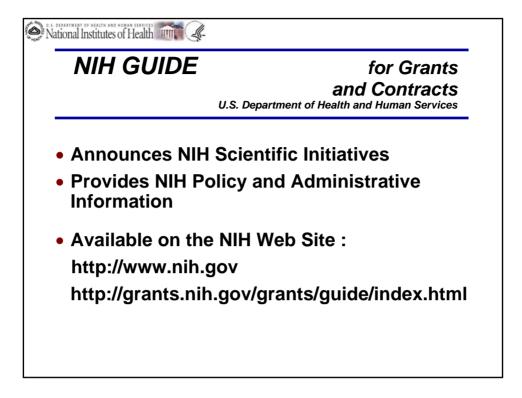
You do not want a reviewer to make this comment about your application:

"In addition to proposing a research design that is a fishing expedition, the applicant also proposes to use every type of bait and piece of tackle ever known to mankind."

The research that you propose in your application must be innovative and focused

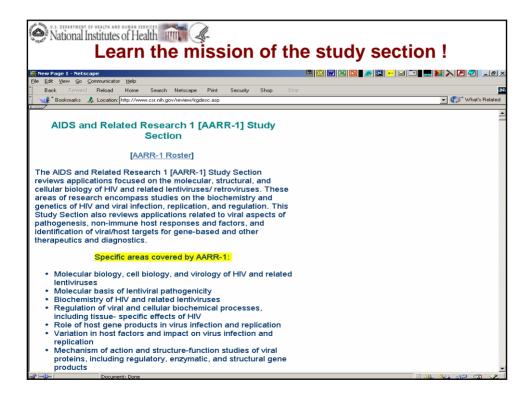
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NIH Information Sources





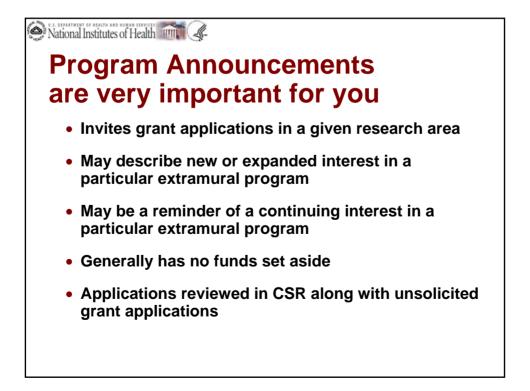
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Review Administrator.							
Important Notice Of NIH Policy To All Applicants: All rosters are provided for information purposes only. Applicant investigators must not communicate directly with any review group member about an application either before or after the review. Failure to observe this policy strictly will create serious breaches of confidentiality and conflicts-of-interest in the peer review process. All questions must be directed to the Scientific Review Administrator in charge of the review group. The roster below is a working document and should not be considered as complete until the meeting date. A final and complete roster will be provided with the summary statement.							
AWARDING					ANDING COMMITT	<u>EE</u>	
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Roster Information			Com	nittee Nai	me		
<u>CSR</u>	Center For Scientific R CSR STANDING COM	MITTEES					
NCIA	SUBCOMMITTEE A - (National Cancer Institute SUBCOMMITTEE A - CANCER CENTERS					
NCIC_	National Cancer Institute SUBCOMMITTEE C - BASIC & PRECLINICAL						
	National Cancer Institute SUBCOMMITTEE D - CLINICAL STUDIES						
NCIE	National Cancer Institute SUBCOMMITTEE E - CANCER EPIDEMIOLOGY, PREVENTION & CONTROL						
NCIF	National Cancer Institu SUBCOMMITTEE F - N		ER & TR	AINING			
NCIG	National Cancer Institu SUBCOMMITTEE G - I		ON				
<u>NCIH</u>	National Cancer Institu SUBCOMMITTEE H - (GROUPS	6			
RIRGC	National Center For Re COMPARATIVE MEDI						
RIRGG	National Center For Re	search Re	esources				

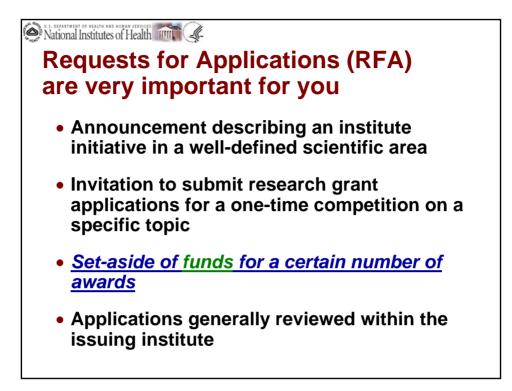


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CENTER FOR SCIE SPECIALE MPH4 ZRO1 AARE 6/39/2002-6/ MEETING R	SIS PANEL -1 (01) 24/2002							
CHAIRPERSON								
WOLINSKY, STEVEN M, MD PROFESSOR DEPARTMENT OF MEDICINE NORTHWESTERN UNIVERSITY MEDICAL SCHOOL CHICAGO, IL 60811	_							
MEMBERS								
AHUJA, SUNIL K, MD ASSOCIATE PROFESSOR DEPARTMENT OF MEDICINE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO SAN ANTONIO, TX 78229								
BAMBARA, ROBERT A., PHD PROFESSOR AND CHAIR DEPARTMENT OF BIOCHEMISTRY AND BIOPHYSICS UNIVERSITY OF ROCHESTER SCHOOL OF MEDICINE AND DENTISTRY ROCHESTER, NY 14602								
BIENIASZ, PAUL D , PHD AARON DIAMOND AIDS RES CTR NEW YORK, NY 10016								
BUSHMAN, FREDERIC D., PHD ASSOCIATE PROFESSOR								
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<u>K01</u>	Mentored Research Scientist Development Award Career development in a new area of research. 3-5 yrs; Salary determined by the sponsoring institute.		
<u>K02</u>	Independent Scientist Award Develop the career of the funded scientist. 5 yrs; 75% effort.		
<u>K05</u>	Senior Scientist Award For outstanding scientists with a sustained level of high productivity. 5 yrs; 75% effort, Funding determined by the sponsoring institute		
<u>K07</u>	Academic Career Award Developmenta/Leadership in academic instruction, research, administration. 2-5 yrs, 25-75% effort; requires institutional sponsorship.		
KOB	Mentored Clinical Scientist Development Award Development of the independent clinical research scientist. 3-5 yrs, 75% effort.		
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National Institutes of Health

Office of Extramural Research

 Handles requests for grant applications, program guidelines, general information on grant applications and review policy

> Office of Extramural Research National Institutes of Health 6701 Rockledge Drive, Suite 6095 Bethesda, Maryland 20892-7910 *PHONE*: 301-435-0714 *FAX*: 301-480-0525 *e-mail*: grantsinfo@nih.gov



